

# NURBS Curves

A  $p$ -th degree **Non-Uniform Rational B-Spline** (NURBS) curve is defined by:

$$\mathbf{C}(u) = \frac{\sum_{i=0}^n N_{i,p}(u) w_i \mathbf{P}_i}{\sum_{i=0}^n N_{i,p}(u) w_i}, \quad 0 \leq u \leq 1$$

where the  $\{\mathbf{P}_i\}$  are the *control points*, the  $\{w_i\}$  are the *weights*, and the  $\{N_{i,p}(u)\}$  are the  $p$ -th degree B-spline basis functions defined on the nonperiodic (and nonuniform) knot vector,

$$U = \{ \underbrace{0, \dots, 0}_{p+1}, u_{p+1}, \dots, u_{m-p-1}, \underbrace{1, \dots, 1}_{p+1} \}$$

Setting,

$$R_{i,p}(u) = \frac{N_{i,p}(u) w_i}{\sum_{i=0}^n N_{i,p}(u) w_i}$$

allows us to write the NURBS curve as,

$$\mathbf{C}(u) = \sum_{i=0}^n R_{i,p}(u) \mathbf{P}_i, \quad 0 \leq u \leq 1$$

The properties of  $R_{i,p}$  and  $\mathbf{C}(u)$  follow in a similar fashion from those for  $N_{i,p}$  and the non-rational form of  $\mathbf{C}(u)$

As was the case for rational Bezier curves, homogeneous coordinates are used to represent NURBS curves and surfaces. Let  $H$  be the perspective map as before. For a given set of control points  $\{\mathbf{P}_i\}$ , and weights  $\{w_i\}$ , construct the weighted control points:  $\mathbf{P}_i^w = (w_i x_i, w_i y_i, w_i z_i, w_i)$ . Then define the nonrational (piecewise polynomial) B-spline curve in 4D:

$$\mathbf{C}^w(u) = \sum_{i=0}^n N_{i,p}(u) \mathbf{P}^w_i, \quad 0 \leq u \leq 1$$

Applying the perspective map,  $H$ , to  $\mathbf{C}^w(u)$  yields the corresponding rational B-spline curve (piecewise rational in 3D space):

$$\begin{aligned}
\mathbf{C}(u) &= H \{ \mathbf{C}^w(u) \} \\
&= H \left\{ \sum_{i=0}^n N_{i,p}(u) \mathbf{P}^w_i \right\} \\
&= \sum_{i=0}^n R_{i,p}(u) \mathbf{P}_i
\end{aligned}$$

Algorithm A4.1 computes a point on a rational B-spline curve at a fixed  $u$ -value. It assumes weighted control point array  $\mathbf{P}^w$  (as do all future algorithms), i.e.,

$\mathbf{P}^w[i] = (w_i x_i, w_i y_i, w_i z_i, w_i)$ .  $\mathbf{C}^w$  denotes the 4D point on  $\mathbf{C}^w(u)$ , and  $\mathbf{C}$ , the 3D point on  $\mathbf{C}(u)$  (output).

See algorithm A4.1



# Derivatives of NURBS Curves

It would be helpful to use the algorithms already developed for derivatives of nonrational curves. Thus we develop formulas that express the derivatives of  $\mathbf{C}(u)$  in terms of the derivatives of  $\mathbf{C}^w(u)$ . Let,

$$\mathbf{C}(u) = \frac{w(u) \mathbf{C}^w(u)}{w(u)} = \frac{\mathbf{A}(u)}{w(u)}$$

where  $\mathbf{A}(u)$  is a vector valued function whose coordinates are the first three coordinates of  $\mathbf{C}^w(u)$ . Then,

$$\begin{aligned}\mathbf{C}'(u) &= \frac{w(u)\mathbf{A}'(u) - w'(u)\mathbf{A}(u)}{(w(u))^2} \\ &= \frac{w(u)\mathbf{A}'(u) - w'(u)w(u)\mathbf{C}(u)}{(w(u))^2} \\ &= \frac{\mathbf{A}'(u) - w'(u)\mathbf{C}(u)}{w(u)}\end{aligned}$$

Since  $\mathbf{A}(u)$  and  $w(u)$  represent the coordinates of  $\mathbf{C}^w(u)$ , we obtain their first derivatives by using the nonrational formulas. For higher order derivatives, we differentiate  $\mathbf{A}(u)$  using Leibnitz' rule:

$$\begin{aligned}\mathbf{A}^{(k)}(u) &= (w(u) \mathbf{C}(u))^{(k)} \\ &= \sum_{i=0}^k \binom{k}{i} w^{(i)}(u) \mathbf{C}^{(k-i)}(u)\end{aligned}$$

$$\mathbf{A}^{(k)}(u) = w(u) \mathbf{C}^{(k)}(u) + \sum_{i=1}^k \binom{k}{i} w^{(i)}(u) \mathbf{C}^{(k-i)}(u)$$

from which we obtain:

$$\begin{aligned} \mathbf{C}^{(k)}(u) &= \\ &= \frac{\mathbf{A}^{(k)}(u) - \sum_{i=1}^k \binom{k}{i} w^{(i)}(u) \mathbf{C}^{(k-i)}(u)}{w(u)} \end{aligned}$$

This formula gives the  $k$ -th derivative of  $\mathbf{C}(u)$  in terms of the  $k$ -th derivative of  $\mathbf{A}(u)$  and the first through  $(k - 1)$ -th derivative of  $w(u)$ .

The derivatives  $\mathbf{A}^{(k)}(u)$  and  $w^{(i)}(u)$  are obtained using either algorithm A3.2 or algorithm A3.4.

Exercise:

Consider the quadratic rational Bezier circular arc given by:

$U = \{0, 0, 0, 1, 1, 1\}$ ,  $\mathbf{P}_i = \{ (1,0), (1,1), (0,1) \}$ ,  
and  $w_i = \{ 1, 1, 2 \}$ . Compute the first and second derivatives at  $u = 0$ , and  $u = 1$ .

Now assume that  $u$  is fixed, and that the 0-th through the  $d$ -th derivatives of  $\mathbf{A}(u)$  and  $w(u)$  have been computed and loaded into the arrays **Aders** and **wders**, respectively; i.e.,  $\mathbf{C}^w(u)$  has been differentiated and its coordinates separated off into **Aders** and **wders**.

An algorithm to compute the point  $\mathbf{C}(u)$  and the derivatives  $\mathbf{C}^{(k)}(u)$ ,  $1 \leq k \leq d$ , follows. The curve point is returned in  $\mathbf{CK}[0]$ , and the  $k$ -th derivative in  $\mathbf{CK}[k]$ .

See algorithm A4.2



# NURBS Surfaces

A NURBS surface of degree  $p$  in the  $u$ -direction and degree  $q$  in the  $v$ -direction is a bivariate vector-valued piecewise rational polynomial of the form:

$$\mathbf{S}(u, v) = \frac{\sum_{i=0}^n \sum_{j=0}^m N_{i,p}(u) N_{j,q}(v) w_{ij} \mathbf{P}_{ij}}{\sum_{i=0}^n \sum_{j=0}^m N_{i,p}(u) N_{j,q}(v) w_{ij}}$$

for  $0 \leq u, v \leq 1$

the  $\{\mathbf{P}_{ij}\}$  form a bidirectional control net, the  $\{w_{ij}\}$  are the weights, and the  $\{N_{i,p}(u)\}$  and  $\{N_{j,q}(u)\}$  are the B-spline basis functions defined on the nonperiodic (and nonuniform) knot vectors,

$$U = \{ \underbrace{0, \dots, 0}_{p+1}, u_{p+1}, \dots, u_{r-p-1}, \underbrace{1, \dots, 1}_{p+1} \}$$

and,

$$V = \{ \underbrace{0, \dots, 0}_{q+1}, v_{q+1}, \dots, v_{s-q-1}, \underbrace{1, \dots, 1}_{q+1} \}$$

where,

$$r = n + p + 1 \quad \text{and} \quad s = m + q + 1$$

Introducing the piecewise rational basis functions:

$$R_{i,j}(u, v) = \frac{N_{i,p}(u) N_{j,q}(v) w_{ij}}{\sum_{k=0}^n \sum_{l=0}^m N_{k,p}(u) N_{l,q}(v) w_{kl}}$$

the surface can be written in the form:

$$\mathbf{S}(u, v) = \sum_{i=0}^n \sum_{j=0}^m R_{i,j}(u, v) \mathbf{P}_{ij}$$

for  $0 \leq u \leq 1$

The properties of  $\mathbf{S}(u, v)$  follow in a similar fashion from those for the non-rational form of  $\mathbf{S}(u, v)$

Algorithm A3.5 can be adapted to compute a point on a rational B-spline surface by simply allowing the array  $\mathbf{P}$  to contain weighted control points (use  $\mathbf{P}\mathbf{w}$ ), accumulating the 4D surface point in  $\mathbf{S}\mathbf{w}$ , and inserting a line to accomplish the perspective projection.

See algorithm A4.3

# Derivatives of a NURBS Surface

In a manner similar to that for curves, the formulation of derivatives of a NURBS surface  $\mathbf{S}(u, v)$  is derived in terms of those for  $\mathbf{S}^w(u, v)$ . Thus, let

$$\mathbf{S}(u, v) = \frac{w(u, v) \mathbf{S}^w(u, v)}{w(u, v)} = \frac{\mathbf{A}(u, v)}{w(u, v)}$$

where  $\mathbf{A}(u, v)$  is the numerator of  $\mathbf{S}(u, v)$ .  
Then,

$$\mathbf{S}_{\alpha}(u, v) = \frac{\mathbf{A}_{\alpha}(u, v) - w_{\alpha}(u, v) \mathbf{S}(u, v)}{w(u, v)}$$

where  $\alpha$  denotes either  $u$  or  $v$ .



In general,

$$\begin{aligned}\mathbf{A}^{(k, l)} &= \left( (w\mathbf{S})^k \right)^l \\ &= \left( \sum_{i=0}^k \binom{k}{i} w^{(i, 0)} \mathbf{S}^{(k-i, 0)} \right)^l \\ &= \sum_{i=0}^k \binom{k}{i} \sum_{j=0}^l \binom{l}{j} w^{(i, j)} \mathbf{S}^{(k-i, l-j)}\end{aligned}$$

$$\begin{aligned}
\mathbf{A}^{(k, l)} &= w^{(0, 0)} \mathbf{S}^{(k, l)} \\
&+ \sum_{i=1}^k \binom{k}{i} w^{(i, 0)} \mathbf{S}^{(k-i, l)} \\
&+ \sum_{j=1}^l \binom{l}{j} w^{(0, j)} \mathbf{S}^{(k, l-j)} \\
&+ \sum_{i=1}^k \binom{k}{i} \sum_{j=1}^l \binom{l}{j} w^{(i, j)} \mathbf{S}^{(k-i, l-j)}
\end{aligned}$$

and it follows that:

$$\begin{aligned} \mathbf{S}(k, l) = & \frac{1}{w} \left( \mathbf{A}(k, l) - \sum_{i=1}^k \binom{k}{i} w(i, 0) \mathbf{S}(k-i, l) \right. \\ & - \sum_{j=1}^l \binom{l}{j} w(0, j) \mathbf{S}(k, l-j) \\ & \left. - \sum_{i=1}^k \binom{k}{i} \sum_{j=1}^l \binom{l}{j} w(i, j) \mathbf{S}(k-i, l-j) \right) \end{aligned}$$

from which we get the following formulas:

$$S_{uv} = \frac{A_{uv} - w_{uv}S - w_u S_v - w_v S_u}{w}$$

$$S_{uu} = \frac{A_{uu} - 2w_u S_u - w_{uu}S}{w}$$

$$S_{vv} = \frac{A_{vv} - 2w_v S_v - w_{vv}S}{w}$$

Example:

