

# Rational Bezier Surface

The perspective projection of a 4-dimensional polynomial Bezier surface,

$$\mathbf{S}^w(u, v) = \sum_{i=0}^n \sum_{j=0}^m B_{i,n}(u) B_{j,m}(v) \mathbf{P}^w_{ij}$$

and,

$$\begin{aligned}
 \mathbf{S}^w(u, v) &= H \{ \mathbf{S}^w(u, v) \} \\
 &= \frac{\sum_{i=0}^n \sum_{j=0}^m B_{i,n}(u) B_{j,m}(v) w_{ij} \mathbf{P}_{ij}}{\sum_{i=0}^n \sum_{j=0}^m B_{i,n}(u) B_{j,m}(v) w_{ij}} \\
 &= \sum_{i=0}^n \sum_{j=0}^m R_{i,j}(u, v) \mathbf{P}_{ij}
 \end{aligned}$$

where,

$$R_{i,j}(u,v) = \frac{B_{i,n}(u) B_{j,m}(v) w_{ij}}{\sum_{r=0}^n \sum_{s=0}^m B_{r,n}(u) B_{s,m}(v) w_{rs}}$$

Note that  $R_{i,j}(u,v)$  are rational functions; but they are not products of other basis functions.

Hence,  $\mathbf{S}(u, v)$  is not a tensor product surface (but  $\mathbf{S}^w(u, v)$  is). We will generally work with  $\mathbf{S}^w(u, v)$ .

Exercise:

See if you can construct a rational Bezier surface patch representing a quarter-cylinder

# B-spline Basis Functions

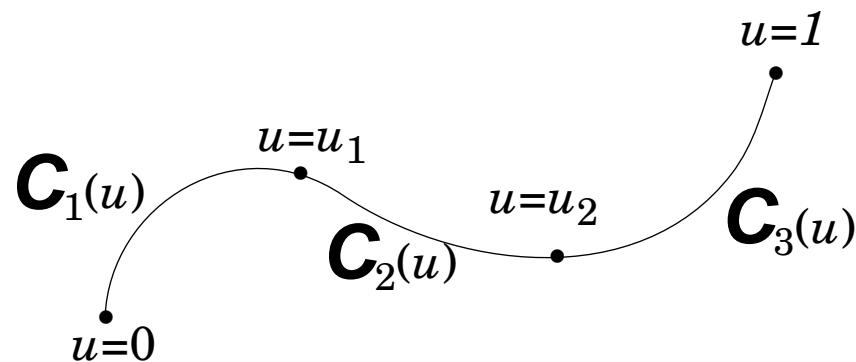
Curves consisting of a single polynomial or rational segment may have the following deficiencies:

- Degree must be high to satisfy a large number of constraints; e.g., need a degree  $(n-1)$  polynomial Bezier to pass through  $n$  data points ---> numerical instability.

- Degree must be high to fit complex shapes accurately
- Not suited to interactive shape design - shape control is not sufficiently localized.

One solution is to use curves (surfaces) which are *piecewise* polynomial or *piecewise* rational.

Consider the following curve



consisting of  $m (= 3)$   $n$ -th degree polynomial segments.  $\mathbf{C}(u)$  is defined on  $u \in [0,1]$ . The parameter values  $u_0 = 0 < u_1 < u_2 < u_3 = 1$  are called breakpoints. Curve segments are denoted  $\mathbf{C}_i(u)$ ,  $i=0,\dots,m$ .

The segments join with specified continuity (not necessarily the same at each breakpoint). Let  $\mathbf{C}_i^{(j)}$  denote the  $j$ -th derivative of  $\mathbf{C}_i$ .



Definition:

Curve  $\mathbf{C}(u)$  is said to be  $C^k$ -continuous at the breakpoint  $u_i$ , if

$$\mathbf{C}_i^{(j)}(u_i) = \mathbf{C}_{i+1}^{(j)}(u_i)$$

*for all  $0 \leq j \leq k$*

Note that any of the standard polynomial forms can be used to represent  $\mathbf{C}_i(u)$ .

Example:

Suppose the piecewise curve above is represented using cubic Bezier polynomials.

If the breakpoints are fixed,  $U = \{u_0, u_1, u_2, u_3\}$ , and the twelve control points  $\mathbf{P}_i^j$  are allowed to vary arbitrarily, we obtain a vector space  $\mathfrak{v}$  of all piecewise cubic polynomial curves on  $U$ .

Vector space  $\mathfrak{v}$  has dimension 12, and a curve in  $\mathfrak{v}$  can be discontinuous at  $u_1$  or  $u_2$ .

Now suppose that  $C^0$ -continuity is specified, i.e.,  $\mathbf{P}_3^1 = \mathbf{P}_0^2$  and  $\mathbf{P}_3^2 = \mathbf{P}_0^3$ . Thus  $\mathfrak{v}^0$  is the vector space of all piecewise cubic polynomial curves on  $U$  which are at least  $C^0$ -continuous. Note that  $\mathfrak{v}^0$  has dimension 10, and  $\mathfrak{v}^0 \subset \mathfrak{v}$ .

Similarly, if  $C^1$ -continuity is specified, it can be shown that,

$$\mathbf{P}_3^1 = \frac{(u_2 - u_1) \mathbf{P}_2^1 + (u_1 - u_0) \mathbf{P}_1^2}{u_2 - u_0}$$

So  $\mathfrak{V}^1$  the vector space of all  $C^1$ -continuous piecewise polynomial curves on  $U$  has dimension 8, and  $\mathfrak{V}^1 \subset \mathfrak{V}^0 \subset \mathfrak{V}$

Thus, storing and manipulating individual polynomial segments of a piecewise polynomial curve has the following deficiencies:

- redundant data must be stored - e.g., 12 coefficients, where only 8 are required for  $C^1$ -continuity, and 6 for  $C^2$ -continuity

- continuity of  $\mathbf{C}(u)$  depends on the positions of the control points, e.g., in our previous example, suppose we like  $\mathbf{C}_1(u)$  and  $\mathbf{C}_3(u)$ , but want to modify  $\mathbf{C}_2(u)$  - if we want  $C^1$ -continuity throughout, we are stuck!
- Determining the continuity of a curve requires computation

We want a curve representation of the form,

$$\mathbf{C}(u) = \sum_{i=0}^n f_i(u) \mathbf{P}_i$$

where the  $\mathbf{P}_i$  are control points and the  $\{f_i(u), i = 0, \dots, n\}$  are piecewise polynomial functions forming a basis for the vector space of all piecewise polynomial functions of the desired degree and continuity...

i.e., continuity is determined by the basis functions, so control points can be modified *without* altering the curve's continuity.

Also, we seek basis functions  $f_i(u)$  with *local support*, i.e., each  $f_i(u)$  should be non-zero on only a limited number of subintervals (not the entire domain  $[u_0, u_m]$ ).



# B-spline Basis Functions

Definition (Cox-DeBoor):

Let  $U = \{u_0, \dots, u_m\}$  be a non-decreasing sequence of real numbers, i.e.,  $u_i \leq u_{i+1}$ ,  $i = 0, \dots, m - 1$ . The  $u_i$  are called *knots*, and  $U$  is the *knot vector*. The  $i$ -th B-spline basis function of degree  $p$  (order  $p + 1$ ), denoted as  $N_{i,p}(u)$ , is defined as follows:

$$N_{i,0}(u) = \begin{cases} 1 & \text{if } (u_i \leq u < u_{i+1}) \\ 0 & \text{otherwise} \end{cases}$$

$$N_{i,p}(u) = \frac{u - u_i}{u_{i+p} - u_i} N_{i,p-1}(u) + \frac{u_{i+p+1} - u}{u_{i+p+1} - u_{i+1}} N_{i+1,p-1}(u)$$

Note the following:

- $N_{i,0}(u)$  is a step function, equal to zero everywhere except on the half-open interval  $u \in [u_i, u_{i+1})$ .
- For  $p > 0$ ,  $N_{i,p}(u)$  is a linear combination of two degree  $p - 1$  basis functions.
- Computation of a set of basis functions requires specification of a knot vector  $U$ , and the degree,  $p$ .

- The formulation above can yield the quotient  $0/0$ . We define this quotient to be zero.
- The half-open interval  $[u_i, u_{i+1})$  is called the  $i$ -th *knot span*. It may have zero length since knots need not be distinct.

- Computation of the  $p$ -th degree functions generates a truncated triangular table,

$$\begin{array}{cccc}
 N_{0,0} & & & \\
 & N_{0,1} & & \\
 N_{1,0} & & N_{0,2} & \\
 & N_{1,1} & & N_{0,3} \\
 N_{2,0} & & N_{1,2} & \\
 & N_{2,1} & & N_{1,3} \\
 N_{3,0} & & N_{2,2} & \vdots \\
 & N_{3,1} & \vdots & \\
 N_{4,0} & \vdots & & \\
 \vdots & & & 
 \end{array}$$

Example:

Given  $U = \{ 0, 0, 0, 1, 1, 1 \}$  compute the B-spline basis functions for degree  $p = 2$

$$N_{0,0} = N_{1,0} = 0 \text{ for } -\infty < u < \infty$$

$$N_{2,0} = \begin{cases} 1 & 0 \leq u \leq 1 \\ 0 & \text{otherwise} \end{cases}$$

$$N_{3,0} = N_{4,0} = 0 \text{ for } -\infty < u < \infty$$

$$\begin{aligned}
 N_{0,1} &= \frac{u-0}{0-0}N_{0,0} + \frac{0-u}{0-0}N_{1,0} \\
 &= 0 \quad -\infty < u < \infty
 \end{aligned}$$

$$\begin{aligned}
 N_{1,1} &= \frac{u-0}{0-0}N_{1,0} + \frac{1-u}{1-0}N_{2,0} \\
 &= \begin{cases} (1-u) & 0 \leq u \leq 1 \\ 0 & \text{otherwise} \end{cases}
 \end{aligned}$$

$$\begin{aligned}
 N_{2,1} &= \frac{u-0}{1-0}N_{2,0} + \frac{1-u}{1-1}N_{3,0} \\
 &= \begin{cases} u & 0 \leq u \leq 1 \\ 0 & \text{otherwise} \end{cases}
 \end{aligned}$$

$$\begin{aligned}
 N_{3,1} &= \frac{u-1}{1-1}N_{3,0} + \frac{1-u}{1-1}N_{4,0} \\
 &= 0 \quad -\infty < u < \infty
 \end{aligned}$$



$$\begin{aligned}
 N_{0,2} &= \frac{u-0}{0-0}N_{0,1} + \frac{1-u}{1-0}N_{1,1} \\
 &= \begin{cases} (1-u)^2 & 0 \leq u \leq 1 \\ 0 & \text{otherwise} \end{cases}
 \end{aligned}$$

$$\begin{aligned}
 N_{1,2} &= \frac{u-0}{1-0}N_{1,1} + \frac{1-u}{1-0}N_{2,1} \\
 &= \begin{cases} 2(1-u) & 0 \leq u \leq 1 \\ 0 & \text{otherwise} \end{cases}
 \end{aligned}$$

$$\begin{aligned}
 N_{2,2} &= \frac{u-0}{1-0}N_{2,1} + \frac{1-u}{1-1}N_{3,1} \\
 &= \begin{cases} u^2 & 0 \leq u \leq 1 \\ 0 & \text{otherwise} \end{cases}
 \end{aligned}$$

Note that the  $N_{i,2}$  restricted to the interval  $u \in [0,1]$ , are the quadratic Bernstein polynomial.

Thus, the B-spline representation with knot vector of the form,

$$U = \{ \underbrace{0, \dots, 0}_{p+1}, \underbrace{1, \dots, 1}_{p+1} \}$$

is a generalization of the Bezier representation.